

UI REPORTS HANDBOOK NO. 401

ETA 9055 Appeals Case Aging

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A. Facsimile of Form

Section A. LOWER AUTHORITY APPEALS CASE AGING

Days	Total
Total	
<=25	
26-40	
41-90	
91-120	
121-180	
181-360	
> 360	

Section B. HIGHER AUTHORITY APPEALS CASE AGING

Days	Total
Total	
<=40	
41-70	
71-120	
121-180	
181-360	
> 360	

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B. Purpose

The ETA 9055 report contains monthly information on the inventory of lower authority and higher authority appeals which have been filed but not resolved. The universe of appeals included in this measure is all lower and higher authority appeals which are not resolved at the end of the month covered by the report. Case aging provides information about the number of days from the time an appeal is filed and the end of the month covered by the report.

C. Due Date and Transmittal.

The report is due in the ETA National Office on the 20th of the month following the month to which the data relates. This report will be transmitted electronically.

D. General Reporting Instructions

Appeals Case Aging measures require that the State report the universe of all Appeals for which a decision has not been rendered prior to the end of the reporting period. Edit checks can be found in Handbook 402, Unemployment Insurance Required Reports User's Manual, Appendix C.

1. Lower Authority Appeals Case Aging.

- a. Includes all lower authority appeals, including remanded by the higher authority for a hearing and decision and reopened cases, not decided at the end of the month.
- b. Excludes episodic claims programs such as Extended Benefits, Disaster Unemployment Assistance, and Trade Readjustment Allowances.

2. Higher Authority Appeals Case Aging.

- a. Includes all higher authority appeals, including remanded and reopened cases, not decided at the end of the month. A case that has been remanded to the lower authority for additional evidence and will be returned to the higher authority for a decision is reported in this inventory. A case that has been remanded to the lower authority for a new hearing and decision, is not a pending higher authority case and should not be included in this report.
- b. Excludes episodic claims programs such as Extended Benefits, Disaster Unemployment Assistance, and Trade Readjustment Allowances.

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E. Definitions

Definitions, unless otherwise specified in these instructions, will follow the definitions for the ETA 5130 found else where in this handbook.

1. Appeal Cases, Aging.

Includes all appeals filed and not resolved at the end of the month and cases remanded by higher authority for a new hearing and decision.

Excludes episodic claims programs such as EB, DUA and TRA.

2. Case Aging. The number of days from the date an appeal is filed and the end of the month covered by the report.

F. Item by Item Instructions

Enter the number of appeals that fall within each category.

1. Age of Lower Authority Appeals Not Decided.

a. Total Lower Authority Appeals Not Decided. Enter in this column the total number of lower authority appeals not decided and individual totals for each case aging interval.

2. Age of Higher Authority Appeals Not Decided.

a. Total Higher Authority Appeals Not Decided. Enter in this column the total number of higher authority appeals not decided and individual totals for each case aging interval.

3. Comments. Explain in the comments area significant variations in case aging in lower and higher authority appeals not decided from levels in the prior period or the same period one year ago.

a. Administrative Factors. Describe administrative factors, such as changes in operating procedures, issuance of rules and regulations, staff turnover, change in administrative policies, and increase or decrease in initial determinations, which may affect data reported in such a way that they will lack comparability with the data on prior reports or on current reports submitted by other State agencies.

b. Legal Factors. Describe legal factors, such as new laws or amendments or change in interpretation of existing laws, which may affect the data

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reported in such a way that they cannot be compared with data from prior reports or on current reports from other State agencies.

- c. Economic Factors. Describe economic factors which may affect data reported.